

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

050395-0353

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLN. NO. (if known, see 37 CFR 1.5)  
Not yet assigned **107548405**

INTERNATIONAL APPLICATION NO PCT/JP2004/002656	INTERNATIONAL FILING DATE March 3, 2004	PRIORITY DATE CLAIMED March 11, 2003
TITLE OF INVENTION LUNEBERG LENS AND PROCESS FOR PRODUCING THE SAME		
APPLICANTS FOR DO/EO/US Masatoshi KURODA, Tetsuo KISHIMOTO, and Kouichi KIMURA		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached herewith (required only if not transmitted by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not transmitted by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendment has NOT expired.
  - d.  have not been made and will not be made.
8.  An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A preliminary amendment.
14.  An Application Data Sheet under 37 CFR 1.76.
15.  A substitute specification.
16.  A power of attorney and/or change of address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18.  A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).
20.  Other items or information:
  - 1) Front Page of International Application
  - 2) International Search Report
  - 3) PCT/IB/308
  - 4)

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) Not yet assigned		INTERNATIONAL APPLICATION NO. PCT/JP2004/002656		ATTORNEY'S DOCKET NUMBER 050395-0353	
				<b>CALCULATIONS</b>	PTO USE ONLY
21. <input checked="" type="checkbox"/> The following fees are submitted:					
<input checked="" type="checkbox"/> Basic National Stage Fee				\$300	\$300.00
22. <input checked="" type="checkbox"/> Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$100	\$200.00
All other situations				\$200	
23. <input checked="" type="checkbox"/> Search Fee Search fee (37 CFR 1.445(1)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$100	\$400.00
International Search Report prepared and provided to the Office				\$400	
All other situations				\$500	
<b>TOTAL OF 21, 22 and 23 =</b>				\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE		
-100	/50=		X\$250	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		6-20 =	0	x \$50.00	\$0
Independent Claims		1-3 =	0	x \$200.00	\$0
Multiple dependent claim(s) (if applicable)				+ \$360.00	\$360.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1260.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
				\$0	
				<b>SUBTOTAL =</b>	\$1260.00
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0
				<b>TOTAL NATIONAL FEE =</b>	\$1260.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$40.00
				<b>TOTAL FEES ENCLOSED =</b>	\$1300.00
				Amount to be refunded	\$0
				Amount to be charged	\$1300.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of <u>\$1300.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:			September 8, 2005		
Customer Number 20277			SIGNATURE		
Telephone: 202.756.8000			DATE		
Facsimile: 202.756.8087			Arthur J. Steiner		
			NAME		
			26,106		
			REGISTRATION NUMBER		

BASED ON FORM PTO-1390 (Rev. 2-2005)

S/PPTS

10/548405  
JC17 Rec'd PCT/PTO 08 SEP 2005

## VERIFICATION

The undersigned, of the below address, hereby certifies that he/she well knows both the English and Japanese languages, and that the attached is an accurate English translation of the PCT application filed on March 3, 2004 under No. PCT/JP2004/002656.

The undersigned declares further that all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed this 9<sup>th</sup> day of August, 2005

Signature:

Kenichi Takahashi

Name: Kenichi TAKAHASHI

Address:

c/o Osaka Works of  
SUMITOMO ELECTRIC INDUSTRIES, LTD.  
1-3, Shimaya 1-chome, Konohana-ku, Osaka-shi,  
Osaka, Japan